PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/964,273 Application Number Filing Date September 26, 2001 TRANSMITTAL First Named Inventor Sean Brielsen **FORM** Art Unit 1615 **Examiner Name** Pili Asabi Hawes (to be used for all correspondence after initial filing) Attorney Docket Number CPIFT-5776 (3360-0004) Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify **Extension of Time Request** below): Terminal Disclaimer Return Postcard Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Cook, Alex, MoFarron, Manzo, Cummings & Mehler, Ltd.

Printed name Raymond M. Mehler Date September 8, 2005 Reg. No. 26,306 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with

sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Signature

the date shown below.

Typed or printed name Kristine Callahan

Kloke Oelleba

Date Sen

September 8, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

09/964,273

Applicant Filed

Sean Brjelsen et al. September 26, 2001

Examiner

Pili Asabi Hawes

Group Art Unit

1615

Title: Preparation of Submicron Solid Particle Suspensions by Sonication of Multiphase

Systems

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service First Class Mail on the date indicated below and is addressed to:

Mail Stop Petition Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Name: Signatu

PETITION TO REINSTATE CORRECT FILING DATE

Sir:

This application was filed on September 26, 2001 under Serial No. 09/964,273. The Filing Receipt was mailed November 7, 2001 and received by applicants. A Notice to File Missing Parts of Non-Provisional Application – Filing Date Granted was mailed November 7, 2001 and duly received by applicants. This included a requirement to remove drawings from the specification and to submit formal drawings in accordance with 37 CFR 1.81. These papers show the filing date of September 26, 2001.

On May 1, 2002, applicants submitted a reply to the Notice mailed November 17, 2001. Included in this Reply was a substitute specification, together with a listing of the amendments made in the substitute specification, along with a marked-up copy of the specification as originally filed.

Applicants note that their Reply mailed May 1, 2002, and stamped as received May 10, 2002, did not include the explicit statement that the substitute specification includes no new matter. See 37 CFR 1.125 (b); however, this reply was nevertheless in full compliance with 37 CFR 1.125 (b) by stating that the replacement specification is identical to the original specification filed September 26, 2001, except for the amendments that are listed in the Reply and that are indicated in the marked-up copy of the specification as it was originally filed and that had been submitted with this Reply mailed May 1, 2002.

To the extent the Office requires an explicit statement in strict accordance with 37 CFR 1.125 (b), applicants hereby state that the substitute specification that had been mailed to the Office on May 1, 2002 includes no new matter.

Applicants had subsequently received a Notice of Incomplete Reply (Non-Provisional) Filing Date Granted, which continued to recite the proper filing date of September 26, 2001. The Notice of Abandonment under 37 CFR 1.53, mailed June 4, 2004, continued to recite the correct filing date of September 26, 2001. Applicants' Petition to Withdraw the Holding of Abandonment mailed June 60, 2004 continued to indicate the correct filing date of September 26, 2001.

However, the Notice of Publication of Application that applicants received on February 28, 2005, recites May 10, 2002 as the "filing or 371 (c) date." Furthermore, the published application of February 17, 2005, No. U.S. 2005/0037083, shows May 10, 2002 as the filing date.

Applicants respectfully petition to have the records of the U.S. PTO reflect the original and correct filing date of September 26, 2001 for this application, rather than the

date on which the substitute specification was received at the Office. In the event that

this change in filing date was an editorial error, applicants hereby respectfully request

that the error by corrected. To the extent that this represents an intentional change by the

U.S. PTO of the filing date of this application from September 26, 2001 to May 10, 2002,

applicants respectfully petition for reconsideration and reversal of this change. The

substitute specification received on May 10, 2002, contained no new matter, which was

clearly evident from the detailed listing and identification of amendments that were made

in order to, in effect, remove the drawings from the body of the specification and into

formal drawings apart from the specification.

To the extent that a petition fee is required in order to process this request,

charging of Deposit Account No. 50-1039 is hereby explicitly authorized.

Applicants look forward to an indication that the correct filing date of September

26, 2001 has been reinstated for this application. Applicants also respectfully request

republication of the published application in order to properly reflect this September 26,

2001 filing date.

Applicants appreciate the cooperation of the Office in attending to this matter.

Respectfully submitted,

COOK, ALEX, MCFARRON, MANZO,

CUMMINGS & MEHLER, LTD.

Reg. No.: 26,306

200 West Adams Street **Suite 2850** Chicago, Illinois 60606

(312) 236-8500

3